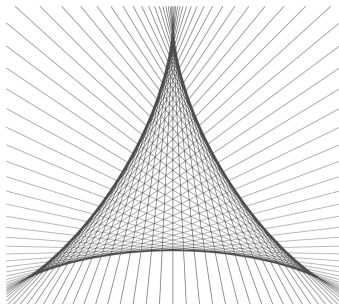


A model-theoretic invitation to web geometry

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Model theory seminar - University of Notre Dame

- The title is the reference to the book
An invitation to web geometry by L. Pirio and J. V. Pereira.
- Date of birth of web geometry: Spring 1927 in Naples.



A 3-web on a hyperbolic triangle Δ : from every point $x \in \Delta$, a set of three lines $\{l_1(x), l_2(x), l_3(x)\}$ of $T\Delta_x$.

The projectivized tangent bundle

We fix X a **smooth irreducible complex algebraic variety of dimension two**.
 T_X denotes the tangent space of X and $\mathbb{P}(T_X)$ the space of lines of T_X .

$$\begin{array}{ccc} T_X \setminus \{0\text{-section}\} & \xrightarrow{r} & \mathbb{P}(T_X) \\ \downarrow p & \swarrow \pi & \\ X & & \end{array}$$

Definition

A **foliation** is a rational section of $\pi : \mathbb{P}(T_X) \rightarrow X$: the germ of a definable section of π at the generic point of X .

$$\sigma : X \dashrightarrow \mathbb{P}(T_X) \text{ satisfying } \pi \circ \sigma = id_X.$$

An **irreducible web** is an algebraic section of $\pi : \mathbb{P}(T_X) \rightarrow X$: a rational section after a generically finite extension $\phi : X' \dashrightarrow X$:

$$\begin{array}{ccc} & \mathbb{P}(T_X) & \\ & \uparrow & \downarrow \\ X' & \dashrightarrow & X \end{array}$$

Typical statement: Given an additional structure on X (a vector field $v : X \rightarrow T_X$ or a rational dominant $\sigma : X \dashrightarrow X$), describe the relations between:

- the properties of the differential equation (X, v) or of the difference (X, σ) (seen respectively in **DCF**₀ and **ACFA**).
- the structure of algebraic sections of the projection $\mathbb{P}(T_X)$ compatible with this additional structure (the so-called **invariant foliations and invariant webs**).

Plan of my talk:

- (1) Describe an “improved formalism” for foliations and webs in dimension two.
- (2) Geometric stability theory in **DCF**₀ and comparisons with **CCM** and **ACFA**₀.
- (3) A Galois-theoretic analysis of invariant webs.

The theory of foliations and webs can be carried out purely analytically and applied in **CCM**. For presentation purposes, I will describe webs and foliations only for an algebraic variety X .

Intuitive idea: Having many algebraic sections is a particular instance of having “a lot of structure”.

- ($T = \mathbf{ACF}_0$) If $\pi : E \rightarrow X$ be a vector bundle of rank n over X then E is generated by its rational sections: there are rational sections σ, \dots, σ_n of π such that

$(\sigma_1(x), \dots, \sigma_n(x))$ form a basis of E_x for every $x \in U \subset X$.

In particular, $E = T_X$, any algebraic variety X admits many foliations and webs.

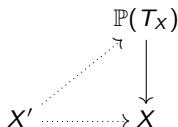
Corollary (GAGA, $T = \mathbf{CCM}$)

Let M be a compact complex manifold. If there exists an analytic vector bundle E over M without any non-zero meromorphic section then M is not isomorphic to a projective algebraic variety.

Proposition (Prototype statement, $T = \mathbf{CCM}$)

*Let M be a compact complex **surface**. Assume that M does not support any analytic web then the generic type of M is minimal and locally modular.*

Consider an algebraic section:



$\overline{\sigma(X')}$ is a closed irreducible hypersurface of $\mathbb{P}(T_X)$ which projects dominantly on X .

Definition

The group $Div_h(\mathbb{P}(T_X))$ of horizontal divisors is the free abelian group generated by the closed irreducible hypersurfaces of $\mathbb{P}(T_X)$ which dominate X .

- A non-horizontal hypersurface has the form $\pi^{-1}(C)$ for some irreducible curve $C \subset X$. So if $U \subset X$ is a dense open set then

$$Div_h(\mathbb{P}(T_X)) \rightarrow Div_h(\mathbb{P}(T_U))$$

is an isomorphism.

- If $D = k_1 Z_1 + \dots + k_n Z_n$ and r_i the cardinal of the generic fibre

$$\pi|_{Z_i} : Z_i \rightarrow X$$

we set $deg(D) = \sum r_i k_i \in \mathbb{Z}$.

Definition

Let $r \geq 1$. An algebraic r -web on X is an effective horizontal divisor

$$D = k_1 Z_1 + \dots + k_n Z_n \in \text{Div}_h(\mathbb{P}(T_X)) \text{ (with } k_i > 0 \text{)}$$

of degree r .

- **Case $r = 1$:** An algebraic 1-web is always irreducible and of the form $\overline{\sigma_{\mathcal{F}}(X)}$ for some foliation $\sigma_{\mathcal{F}} : X \dashrightarrow \mathbb{P}(T_X)$.
- An algebraic r -web is called reduced if $k_i = 1$ for all i . A reduced algebraic r -web can be identified with its support $|D| = Z_1 \cup \dots \cup Z_n$. Then its degree is the cardinal of the generic fibre of

$$\pi : |D| = Z_1 \cup \dots \cup Z_n \rightarrow X.$$

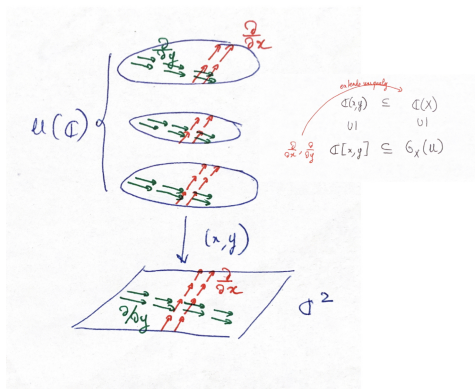
- To formulate a second description of webs, I will need to describe explicitly the sheaf \mathcal{O}_{T_X} of functions on T_X .

Open covers with étale coordinates

An **affine open set with étale coordinates** of X is an affine open set $U \subset X$ endowed with two functions $x, y \in \mathcal{O}_X(U)$ such that:

$$(x, y) : U \rightarrow \mathbb{A}^2$$

is étale, i.e. a local analytic diffeomorphism at each of its complex points.



Any smooth algebraic surface X can be covered by affine open sets with étale coordinates.

Let U an open set with étale coordinates $x, y \in \mathcal{O}_X(U)$

- Any vector field v on U and any one-form $\omega \in \Omega_X^1(U)$ can be uniquely written as:

$$v = a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y} \text{ and } \omega = a \cdot dx + b \cdot dy \text{ with } a, b \in \mathcal{O}_X(U).$$

- The differential $d : \mathcal{O}_X(U) \rightarrow \Omega_X^1(U)$ is given by:

$$df = \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy$$

for $f \in \mathcal{O}_X(U)$.

So differential calculus on U is simply an extension of differential calculus on \mathbb{C}^2 with $k[x, y]$ replaced by $\mathcal{O}_X(U)$.

Let U is an affine open sets with étale coordinates $x, y \in \mathcal{O}_X(U)$.

- We form the algebra $\mathcal{O}_X(U)[dx, dy]$ of formal polynomials with coefficients in $\mathcal{O}_X(U)$ and formal variables dx and dy .
- Example:

$$\omega = f_1 \cdot dx \cdot (dy)^2 + f_2 \cdot (dx)^3 + \dots + f_3 \cdot dx \cdot (dy)^4$$

Lemma

Any element of $\mathcal{O}_X(U)[dx, dy]$ defines naturally a function on $p^{-1}(U)$ and this induces an isomorphism:

$$\mathcal{O}_X(U)[dx, dy] \simeq \mathcal{O}_{T_X}(p^{-1}(U))$$

- $\mathcal{O}_X(U)[dx, dy]$ is a graded algebra graded by the total degree in dx and dy . A coordinate-free presentation of $\mathcal{O}_X(U)[dx, dy] \simeq \text{Sym}^\bullet(\Omega_X^1(U))$
- These graded local algebra come together as a global sheaf of graded algebras on X denoted by

$$\text{Sym}^\bullet(\Omega_X^1) = \mathcal{O}_X \oplus \Omega_X^1 \oplus \dots \oplus \text{Sym}^k(\Omega_X^1) \oplus \dots$$

Let W be an algebraic web on X . Consider

$$Z = \overline{r^{-1}(W)} = r^{-1}(W) \cup \{0\text{-section}\}$$

as an hypersurface of T_X .

- If U an affine open sets with étale coordinates (x, y) then $p^{-1}(U)$ is affine and:

$$Z \cap p^{-1}(U) := (\omega = 0)$$

for some function $\omega \in \mathcal{O}_{T_X}(p^{-1}(U)) \simeq \mathcal{O}_X(U)[dx, dy]$.

- If W has degree r then f is an homogeneous polynomial of degree r :

$$\omega = f_0(dx)^r + f_1 dx(dy)^{r-1} + \dots + f_r(dy)^r$$

- If U' is another open set and $\omega' = 0$ is another equation for W then is a equation of generates then

$$\omega'_{U' \cap U} = f \cdot \omega_{U' \cap U} \text{ for some } f \in \mathcal{O}_X^*(U \cap U').$$

Conclusion: the local equations of the divisor glue together and define a global invertible subsheaf $\mathcal{L} \subset \text{Sym}^k(\Omega_X^1)$.

Proposition

Let X be a smooth irreducible algebraic surface. There is a one to one correspondence between the sets of

- (i) effective irreducible divisors W of degree r in $\text{Div}_h(\mathbb{P}(T_X))$.
- (ii) invertible subsheaves $\mathcal{L} \subset \text{Sym}^r(\Omega_X^1)$ such that $\text{Sym}^r(\Omega_X^1)/\mathcal{L}$ does not have torsion.

- Indeed to show that $\text{Sym}^r(\Omega_X^1)/\mathcal{L}$ does not have torsion we need to show that:
 $f \cdot \omega$ vanishes on W for some $f \in \mathcal{O}_X(U) \Rightarrow \omega$ vanishes on W
- $(f = 0)$ defines an algebraic curve C on U and since W is horizontal:

$$W \setminus \pi^{-1}(C) \text{ is Zariski-dense in } W.$$

- ω vanishes on $W \setminus \pi^{-1}(C)$ so it vanishes on W .

Let $\mathcal{W}, \mathcal{W}'$ be two algebraic webs on X represented by horizontal divisors W and W' in $\mathbb{P}(T_X)$.

- (i) There is a sum operations on webs on X denoted respectively

$$\mathcal{W} \boxtimes \mathcal{W}' \text{ and } \mathcal{W} + \mathcal{W}'.$$

- (ii) If $\phi : X' \dashrightarrow X$ is dominant and generically finite then there is a pull-back operation

$$\mathcal{W} \mapsto \phi^* \mathcal{W}$$

which preserves the degrees of webs.

- (iii) If G is a finite group acting on an affine variety X and $\{\mathcal{W}_1, \dots, \mathcal{W}_n\}$ denotes the orbit of \mathcal{W} under G , there is a unique web \mathcal{W}_Y on $Y = X/G$ such that

$$\phi^* \mathcal{W}_Y = \mathcal{W}_1 \boxtimes \dots \boxtimes \mathcal{W}_r.$$

Lemma (Decomposition lemma)

For every algebraic r -web \mathcal{W} , there exists a generically finite $\phi : X' \dashrightarrow X$ and r foliations $\mathcal{F}_1, \dots, \mathcal{F}_r$ such that

$$\phi^* \mathcal{W} = \mathcal{F}_1 \boxtimes \dots \boxtimes \mathcal{F}_r \text{ (completely decomposable).}$$

Let $\mathcal{F} \subset \Omega_X^1$ be a foliation X (equivalently, $\sigma : X \dashrightarrow \mathbb{P}(T_X)$ or $F = \overline{\sigma(X)}$). A foliation can be broken in two parts:

- (1) The **singular locus** $\text{Sing}(\mathcal{F})$ of \mathcal{F} is the set of points x where Ω_X^1/\mathcal{F} is not locally free at x . Equivalently, $x \in \text{Sing}(\mathcal{F})$ if and only if
 - σ is not regular at x ,
 - $F_x = \mathbb{P}(T_{X,x})$.
- (2) $S = \text{Sing}(\mathcal{F})$ is a finite subset of X and \mathcal{F} defines a **regular foliation** on $U = X(\mathbb{C}) \setminus S$.

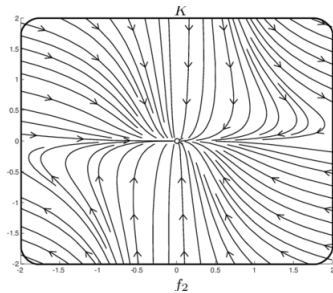
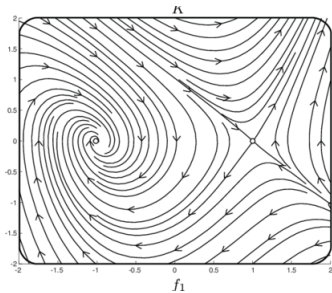
On $X(\mathbb{C}) \setminus S$, the foliation \mathcal{F} defines an equivalence relation:

$x \sim_{\mathcal{F}} y$ if and only there exists an analytic curve $\gamma : \mathbb{D} \rightarrow X(\mathbb{C}) \setminus S$ joining x to y such that $\gamma'(t) \in F_{\gamma(t)}$ for all $t \in \mathbb{D}$.

Definition

The analytic leaves of \mathcal{F} are the equivalence classes of this equivalence relation. They are analytic Riemann surfaces immersed in X^{an} .

Some pictures in the real locus



Some pictures in the real locus (Arnold)

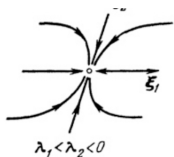


Fig. 126 An unstable focus.

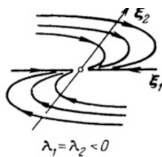


Fig. 127 A saddle point.

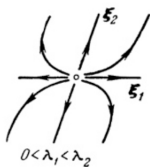


Fig. 128 An unstable node.

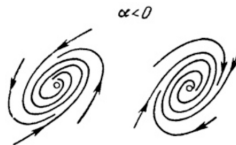


Fig. 129 Stable foci.

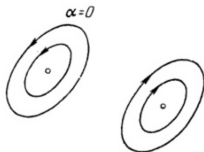


Fig. 130 Centers.

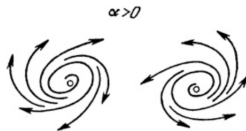


Fig. 131 Unstable foci.

Theorem (Jouanolou)

Let $\mathcal{F} \subset \Omega_X^1$ be a foliation X (equivalently, $\sigma : X \dashrightarrow \mathbb{P}(T_X)$ or $F = \overline{\sigma(X)}$).
TFAE:

- (i) *Infinitely many analytic leaves of \mathcal{F} are algebraic curves on X .*
- (ii) *All leaves of \mathcal{F} are algebraic curves on X .*
- (iii) *There exists an algebraic morphism $\phi : X \setminus \text{Sing}(\mathcal{F}) \rightarrow C$ such that the leaves of \mathcal{F} are the connected components of the fibres of ϕ .*

- If these conditions are realized, we say that \mathcal{F} is an **algebraically integrable foliation**.
- An algebraic r -web \mathcal{W} is algebraically integrable if for some (equiv. any) generically finite cover such that

$$\phi^* \mathcal{W} = \mathcal{F}_1 \boxtimes \dots \boxtimes \mathcal{F}_r$$

the foliations $\mathcal{F}_1, \dots, \mathcal{F}_r$ are algebraically integrable.

- A typical foliation \mathcal{F} (resp. a typical web) is not algebraically integrable.

We now start moving from \mathbf{ACF}_0 to \mathbf{DCF}_0 : our algebraic surface X is endowed with a vector field v i.e. a regular section of $p : T_X \rightarrow X$.

Locally, on an open set $U \subset X$ with étale coordinates (x, y) , the vector field v can be written as:

$$v = a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y} \text{ with } a, b \in \mathcal{O}_X(U).$$

We identify v with the derivation $\delta_v = a \frac{\partial}{\partial x} + b \frac{\partial}{\partial y}$ induced on $\mathcal{O}_X(U)$.

Lemma

There exists a unique extension of the derivation δ_v to $\mathcal{O}_X(U)[dx, dy]$ denoted

$$\mathcal{L}_v : \mathcal{O}_X(U)[dx, dy] \rightarrow \mathcal{O}_X(U)[dx, dy]$$

satisfying:

- \mathcal{L}_v is homogeneous of degree 0.
- For every $f \in \mathcal{O}_X(U)$, $\mathcal{L}_v(df) = d(\delta_v(f))$.

This defines a global vector field w on T_X . In fact, $w = Tv$ where

$$T_v : T_X \rightarrow T(T_X)$$

obtained by functoriality.

- **Consequence:** if v is a vector field on X , we obtain a commutative diagram

$$\begin{array}{ccc}
 (TX \setminus \{0\text{-section}\}, Tv) & \longrightarrow & (\mathbb{P}(T_X), \mathbb{P}(v)) \\
 \downarrow & & \swarrow \\
 (X, v) & &
 \end{array}$$

where $\phi : (X, v) \dashrightarrow (Y, w)$ means that ϕ is rational dominant morphism from X to Y satisfying $d\phi(v) = w$.

- Since Tv is "linear on the fibres", Tv descends to a vector field on $\mathbb{P}(T_X)$ (the global vector fields on \mathbb{P}^1 are the images in homogeneous coordinates of linear vector fields).
- It is a geometric variant of the classical result which asserts that if $y'' = ay' + by$ then y'/y satisfies the Riccati equation:

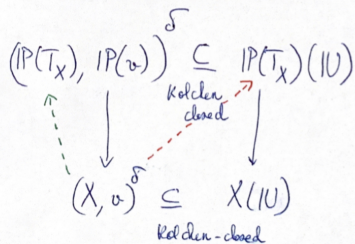
$$z' = -z^2 + az + b.$$

This vector field extends to \mathbb{P}^1 (by computing $(1/z)'$ for example).

Proposition

Let v be a vector field on X and let $\mathcal{W} \subset \text{Sym}^k(\Omega_X^1)$ be an algebraic r -web on X and let W be the associated horizontal divisor. TFAE:

- The horizontal divisor W (equivalently, all its irreducible components) is tangent to $\mathbb{P}(v)$.
- The web \mathcal{W} is stable under \mathcal{L}_v i.e. $\mathcal{L}_v(\mathcal{W}) \subset \mathcal{W}$.
- When these conditions hold, we say that the web (or the foliation) \mathcal{W} is **invariant under the vector field v** .
- Let $\mathcal{U} \models \text{DCF}_0$.



-----> an algebraic foliation
which is NOT invariant under v

-----> an algebraic foliation
which is invariant under v

Recall that a type is semi-minimal if it is almost internal to a minimal type.

Proposition ($T = \text{DCF}_0$)

Let v be a vector field on X and denote by p the generic type of the differential equation (X, v) .

If there are **no algebraically integrable foliations on X invariant under the vector field v** then p is semi-minimal.

- By geometric stability theory, if $p = tp(a/\mathbb{C})$ then there always exists $a_0 \in dcl(a, \mathbb{C}) \setminus \mathbb{C}$ such that $tp(a_0/\mathbb{C})$ is semi-minimal
- Since $\mathbb{C}\langle a \rangle$ has transcendence degree two, either p is semi-minimal or $\mathbb{C}\langle a_0 \rangle$ has transcendence degree one. (so assume the second case).
- There exists a curve C and a vector field w on C such that $tp(a_0/\mathbb{C})$ is intefinable with the generic type of (C, w) . The germ of a definable map sending a to a_0 defines

$$\phi : (X, v) \dashrightarrow (C, w)$$

- Denote by $\mathcal{F} = \text{Ker}(d\phi)$ the foliation tangent to the fibres of ϕ . By definition, this foliation is algebraically integrable.
- $d\phi(v) = w$ implies that the foliation \mathcal{F} is invariant.

Theorem ($T = \text{DCF}_0$)

Let v be a vector field on X and denote by p the generic type of the differential equation (X, v) .

Assume that p is **orthogonal to the constants**.

If X does not admit any **algebraically integrable 2-webs** nor **any algebraically integrable foliations** invariant under the vector field v then p is minimal and disintegrated.

- Without the assumption that p is orthogonal to the constants, a counterexample is given by a global vector field (hence translation invariant) on a simple abelian variety A of dimension two.
- Are they essentially (up to a finite to finite correspondence) the only counterexamples?
- If w is a vector field on a curve C such that (C, w) is orthogonal to the constants. then set

$$X = S^2C = C \times C / \sim \text{ and } w = v \times v / \sim .$$

where $(x, y) \sim (y, x)$ admits an invariant algebraically integrable 2-web and no invariant algebraically integrable foliations. (Moosa-Pillay)

Proposition (Prototype statement, $T = \text{CCM}$)

Let M be a compact complex surface. If M does not support any analytic web then the generic type of M is minimal and locally modular.

- M does not admit support analytic foliation, so in particular M does not support any “meromorphically integrable” analytic foliation.
- Like in DCF_0 , this implies that the generic type of M is semi-minimal.
- $\pi : T_M \rightarrow M$ do not have non-zero algebraic sections. So by GAGA Corollary, M is not almost internal to \mathbb{P}^1 so that M is orthogonal to \mathbb{P}^1 .
- By Riemann existence theorem,

semi minimal + orthogonal to \mathbb{P}^1 in dimension two \Rightarrow minimal

Remark: If we assume orthogonality to \mathbb{P}^1 , we only need to look at analytic foliations and we don't need to consider analytic 2-webs.

Theorem (C. Favre, J. V. Pereira, '14)

Let $\sigma : X \dashrightarrow X$ be a dominant rational map with **positive entropy**. Assume that there exists an irreducible 2-web \mathcal{W} invariant under σ and that σ does not admit any other invariant irreducible webs (in particular any foliation). Then:

- (1) The 2-web \mathcal{W} is algebraically integrable.
- (2) There exists a rational map $\phi : \mathbb{P}^1 \rightarrow \mathbb{P}^1$ such that
 - (\mathbb{P}^1, ϕ) is not conjugated to a monomial, a Chebychev polynomial or a Lattes map.
 - (X, σ) is semi conjugated (by a rational map) to

$$S^2(\mathbb{P}^1, \phi) = (\mathbb{P}^1 \times \mathbb{P}^1, \phi \times \phi) / \sim$$

where $(x, y) \sim (y, x)$.

In fact, $S^2(\mathbb{P}^1) \simeq \mathbb{P}^2$.

Let X be an algebraic variety and v be a vector field on X .

- We have described the irreducible webs on X invariant by v as the algebraic solutions of a Ricatti equation given by the “projectivized Kolchin tangent bundle” over the differential field $(\mathbb{C}(X), \delta_v)$.
- We denote by G the connected component of the Galois group of this Ricatti equation (in other words, the Galois group of this Ricatti equation over $(\mathbb{C}(X), \delta_v)^{alg}$)
- **A characteristic feature of differential algebra “over the constants”**: for every vector field v , the foliation $\mathcal{F}(v)$ tangent to v is tautologically invariant under v .

Consequence (Kovacic):

$$G \neq PSL_2(\mathbb{C}).$$

Proposition ($T = \text{DCF}_0$)

Let v be a vector field on X such that $\mathcal{F}(v)$ is not algebraically integrable. Exactly one of the three following cases occur:

- (1) $G \simeq \text{Aff}_2(\mathbb{C})$ if and only if $\mathcal{F}(v)$ is the unique irreducible web invariant under v .
- (2) $G \simeq G_m(\mathbb{C})$ if and only if there are exactly two irreducible webs invariant under v . One of them is $\mathcal{F}(v)$ and the other one \mathcal{W} is:
 - (2a) either a foliation,
 - (2b) or a 2-web.
- (3) $G = 0$ if and only if v admits at least three invariant irreducible webs if and only if v admits infinitely many.

This follows from arguments of Kovacic on Riccati equations.

Thank you for your attention!